

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	11459726
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
<b>First Named Inventor/Applicant Name:</b>	TIMOTHY M. KEISER
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<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	21-NOV-2011
<b>Filing Date:</b>	17-DEC-1999
<b>Time Stamp:</b>	23:58:52
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$2600
RAM confirmation Number	8155
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	98-HSX001-C1_111121_Appeal_Brief.pdf	124910 7fab3f10b6049b4a32f8ba234088962316246a88	no	32
<b>Warnings:</b>					
<b>Information:</b>					
2	Affidavit/Dec/Exhibit after Notice of Appeal	98-HSX001-C1_111121_Decision_On_Appeal_in_09_382907_dated_Aug_7_2008.pdf	475542 c41366cc79d0cbe97ce79d08ed8423abcbfb12b	no	12
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (SB06)	fee-info.pdf	32581 b62a378f58f4e5d521381e70ca11120c3f13195	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			633033		

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.